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#10/SUPPL.

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Fax Cover Page**Date:** January 12, 2001

| | Name | Fax | Phone |
|------------|------------------------------------------------|----------------|--------------|
| To: | Examiner J. Zara USPTO, Group Art Unit 1635 | (703) 305-7939 | |

| | | | |
|--------------|-------------------------------------|----------------|----------------|
| From: | Carol M. Gruppi cgruppi@mdbe.com | (650) 849-4800 | (650) 849-4902 |
|--------------|-------------------------------------|----------------|----------------|

Pages (including this cover page): 23**Subject:****Message:**

Per your request, attached is a copy of the Supplemental Information Disclosure Statement, Form PTO-1449 and cited references which we originally filed by facsimile on January 10, 2001. If you have any questions, please give me a call.

For transmission problems, please call (650) 849-4825**Caution - Confidential**

The information in this fax is confidential and may also be privileged attorney-client information and attorney work product. It is intended only for the recipient(s) named above. If you aren't a named recipient or his/her authorized representative, any reading, use, copying or disclosure of this fax is strictly prohibited. If you aren't a named recipient, please call the above number (collect) now and return this fax to us by mail at the above address. Thank you.

Docket No. 21144-706

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|--------------|------------------------------------------------------|-----------------|-----------------------------|
| Applicant: | Myles C. Cabot et al. | Assignee: | John Wayne Cancer Institute |
| Filing Date: | November 12, 1999 | Examiner: | J. Zara |
| Serial No.: | 09/439,293 | Group Art Unit: | 1635 |
| Title: | Methods of Reversing Drug Resistance in Cancer Cells | | |

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF FACSIMILE TRANSMISSION (37 C.F.R. § 1.8(a))

Sir:

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office (Facsimile No. (703) 305-3592) on the date indicated below:

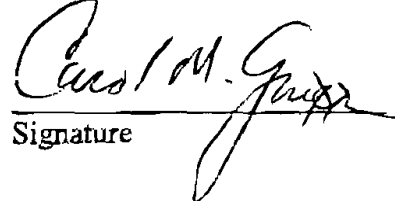
1. Supplemental Information Disclosure Statement (2 pgs).
2. Form PTO-1449 (1 pg).
3. References cited on Form PTO (18 pgs).

Date: January 10, 2001

Name of Person Certifying: Carol M. Gruppi

Printed Name:

Signature



Docket No. 21144-706

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):

(X) Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner J. Zara at Facsimile No. (703) 305-3592 at a.m./p.m.

Dated: January 10, 2001Name of Person Certifying: Nancy L. Hug

Printed Name: Nancy L. Hug

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Myles C. Cabot et al.

Assignee: John Wayne Cancer
Institute

Filing Date: November 12, 1999

Examiner: J. Zara

Serial No.: 09/439,293

Group Art Unit: 1635

Title: Methods of Reversing Drug Resistance in Cancer Cells

Assistant Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.56, the references listed on the attached Form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application.

I. Timing of the Information Disclosure Statement:

This Supplemental Information Disclosure Statement is filed:

- ☒ After the first Office Action and more than three months after the application's filing date; or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and the Commissioner is hereby authorized to charge Deposit Account No. 50-1189 for the fee (\$240) set forth in 37 C.F.R. § 1.17(p) and any additional required fees.

II. Copies of the Cited Items:

- ☒ Copies of all of the items listed on the attached Form PTO-1449 are enclosed.

Docket No. 21144-706

III. Concise Explanation of Relevance:

A concise explanation of relevance of the items listed on Form PTO-1449 is not given.

IV. Conclusion:

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO 1449 with initials or other appropriate marks.

The Commissioner is hereby authorized to charge Deposit Account No. 50-1189
Docket No. 21144-706 for any additional fees required in connection with the filing of this
Information Disclosure Statement.

DATE: January 10, 2001

Respectfully submitted,

By: _____

Carol M. Gruppi

Registration No.: 37,341

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